

KYC No.



ADINATH

ADINATH STOCK BROKING PVT LTD

Client Name		Client Code	
Branch Name	<input type="checkbox"/> <input type="checkbox"/>	Branch Code	
Trading Mode	Online Offline		

SCRUTINY SHEET

Cover Page (Front)

1. Is Branch Code and RM Code filled clearly? Yes No

Acknowledgement Sheet

1. Is Client Signature proper? Yes No

Pro Disclosure Information

1. Is Client Signature proper? Yes No

KRA - Client Basic Details

1. Is PAN number filled here matching with PAN Card copy? Yes No
2. Is PAN Verification stamp affixed on PAN Card copy? Yes No
3. Is Client Name, Address, PIN Code Filled clearly? Yes No
4. Is Email id filled clearly in Capital Letters? Yes No
5. Check Mobile filled here is of client only? Yes No
6. Is correct address proof attached for address? Yes No
7. IPV details are filled completely? Yes No
8. No column is blank on KRA page. Yes No

Bank Detail

1. Is Bank Account number matching with bank proof? Yes No
2. MICR and IFSC Code are compulsory now? Yes No
3. Is name printed canceled cheque leaf attached? Yes No
4. If no is answer to Point 3 then is Pass book or bank statement copy attached? Yes No
5. Last transaction should not be more than 3 months old? Yes No

DP Detail

1. Is DP id and Beneficiary ID matching with DP proof? Yes No
2. Only Client master and holding statement are accepted as DP proof? Yes No
3. Holding statement is not more than 3 month old Yes No
4. If Client Master is more than 3 month old than attached any DP correspondence not more than 3 month old. Yes No

Trading Preference

1. Signature is there against each segment applied. Yes No
2. Tick against each sign is there. Yes No
3. If derivative/currency is applied then any of financial proof as per Instruction list is attached. Yes No
4. If derivative segment is applied by client then RM has explained the Basics of Derivative Trading to Client. Yes No

Introducer Detail

1. Each column is properly filled and RM/ Introducer's signature is there Yes No
2. Introducer code and name is matching with as mentioned on Front Cover Page. Yes No

Tariff Sheet

1. No cutting/Fluid/overwriting is there on brokerage page. Yes No
2. Check client signature is there at proper place. Yes No
3. Check client brokerage is not less than AP's brokerage slab. Yes No
4. If client brokerage is LOW than Brokerage approval sheet with Remarks is attached. Yes No
5. Mention the Account opening scheme name. Yes No

IWTBT Letter

1. Is trading platform properly ticked? Yes No
2. Check client signature is there at proper place. Yes No

Letter of Authority to Trade

1. Client Signature is there. Yes No
2. Detail of Authorised person is clearly filled. Yes No
3. ID Card copy of Authorised person is attached with KIT Yes No

General Instructions: Documents / attachments

1. Are all documents - (a) Clearly visible, (b) Self attested (c) Verified with Original? Yes No
2. Attach Low brok. approval sheet with Tariff sheet at Page 11 Yes No
3. In case of "Letter of Authority to Trade" Attach ID proof of Authorised person with this letter. Yes No
4. Attach all other documents at last page. Yes No
5. Before attaching documents Arrange them as : Yes No
- 1st - Pan Card Copy-duly signed by client and verified by RM/AP Yes No
- 2nd - If photo at Pan Card is not clear than attach another photo id proof Yes No
- 3rd - Address Proof Yes No
- 4th - Bank Proof Yes No
- 5th - Financial Proof for Derivative Trading. Yes No
- 6th - DP proof if DP kit is not attached with this trading kit. Yes No
- 7th - Other proofs is required. Yes No
6. Stamp of IPV is clearly visible as all required locations. Yes No
7. Stamp of Verified with Original is clearly visible as all required locations. Yes No
8. Keep a copy of this Scrutiny sheet at Branch for future reference. Yes No
9. Match client signature each page with proof. Yes No
10. No cutting or overwriting is there. Yes No
11. Check client signature is there at proper place. Yes No

Kit collection date at branch office:

Kit checked and verified by:

Name:

Sign.

Special Remarks

ACKNOWLEDGEMENT TO ASBPL FROM CLIENT

To,

Adinath Stock Broking Pvt Ltd

1, Runwal Complex, Opp. Hanuman Mandir,
Old Mondha Jalna 431203.

I/we hereby confirm that I/we have read, understood, agreed and received a duly executed copy of the:-


- Account Opening Form
- Trading Account Related Details
- Tariff Sheet
- Right and Obligations of Stock Brokers, Sub-Brokers and Clients
- Internet and Wireless technology based trading facility provided by Stock Brokers to Client
- Risk and Disclosure document for capital market and derivative segments
- Guidance note-Do's and Don't for trading on the Exchange(s) for Investors
- Policies and procedures
- Other disclosure/documents as agreed by me specifically in voluntary segment.

I/we am/are abiding by these terms & conditions. I/we reconfirm that I/we and stock broker shall refer any claim and/or disputes with respect to deposits, margin money, etc, to arbitration as per the Rules, Byelaws, and Regulation of the Exchanges where the trade is executed and circulars/notices issued there under as may be in force from time to time.

Thanks and Best regards,

Client Code: _____

For _____

Sign here 1:  _____

 Authorised Signatory Name

For **Adinath Stock Broking Pvt Ltd.**

 Authorised Signatory / Director

Date: _____

----- ✂ (Tear Here) ✂ -----

ACKNOWLEDGEMENT TO CLIENT FROM ASBPL

ADINATH STOCK BROKING PVT LTD

Date: _____

We hereby acknowledge the receipt of the Account Opening Form (KYC) with thanks from

Client Name	
Client PAN	
Branch Code	
Branch Name	
Branch Mobile No. & E-mail ID	
Head Office	1, Runwal Complex, Opp. Hanuman Mandir Old Mondha. Jalna 431203 Ph.: (02482) 243000 E-mail: adinathgroup@adinathonline.com

DOCUMENTS REQUIRED AS PER SEBI CIRCULAR CIR/MIRSD/16/2011 DATED 22-08-2011
Read with SEBI Circular bearing Reference No. MIRSTD/SE/CIR-19/2009 dated 3-12-2009

S. No.	Name of the Document	Brief Significance of the Document	Page No.
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES- DOCKET A (Part-I)			
1.	Account Opening Form	KYC form- Basic information about the client	6-10
2.	Trading Account Related Details	Additional information about the client relevant to trading account	11-14
3.	Tariff Sheet	Detailing the rate / amount of brokerage & other charges/ DP Tariff	15-16
4.	DIS BOOKLET	OPTION FORM FOR ISSUE OF DIS BOOKLET	17
5.	Right & Obligation	Right & Obligation DP	18-20

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES- DOCKET A (Part-II)

1	Rights and Obligations	Document stating the Rights and Obligations of stock brokers/trading member, sub-broker and client for trading on Exchanges (including additional rights & obligations in case of internet/wireless technology based trading)	Copy
2.	Risk Disclosure Document (RDD)	Detailing risks associated with dealing in the securities market	
3.	Guidance Note	Do's and Don'ts for Trading on the Exchange(s) for Investors	
4.	Policies and Procedures	Contains guidelines stipulated by Member with respect to dealings with clients	

VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER- DOCKET B

6.	Trading Letter for Internet & Wireless Technology	Helps the client to enjoy the Internet & Wireless based Technology	21
7.	Running Account Authorization	Helps the client to enjoy exposures linked to the credit in the trading account	22
8.	General Authority	To enable the trading member to act upon the clauses mentioned in the letter of authority	23
10.	Consent for Mobile and Email Registration	Consent for Mobile and Email Registration	24
11.	Letter of Authority to Trade	Where the client wishes to authorize a family member to operate his account.	25
12.	Verbal Order Acceptance Authorization	Verbal Order Acceptance Authorization	26
13.	HUF Declaration	Declaration by Karta & all the Co-parceners	27
14.	POA	POWER OF ATTORNEY	28-30

Name of Stock Broker- ADINATH STOCK BROKING PVT LTD

Registered & Correspondence Office: 1, Runwal Complex, Opp. Hanuman Mandir Old Mondha Jalna 431203.

Compliance Officer: - Vijay Ginodiya Tel No. (02482) 243000 Email Id: - adinathgroup@gmail.com

For any grievance/dispute please contact Adinath Stock broking Pvt. Ltd. at the above address or email id- investor_grievances@adinathonline.com

I/We have fully understood the distinction and details regarding the Mandatory/Non-Mandatory documents as above and do hereby enter and sign the same and agree not to call into question the validity, enforce ability and applicability of any voluntary agreement(s)/ document(s) or clauses within any voluntary/optional agreement(s)/document(s) under any circumstances what so ever.

PRO DISCLOSURE INFORMATION (For ADINATH STOCK BROKING PVT LTD)

To,

Dear Client,

Sir/Madam,


This is to inform you that we do client based trading and Pro-account Trading in National Stock Exchange of India Limited (NSE) ,Bombay Stock Exchange Limited (BSE) And MCX Stock Exchange Limited (MCX-SX)

Thanks & best regards,

For Adinath Stock Broking Pvt Ltd

Authorised Signatory / Director

I acknowledge the receipt of the information given by Adinath Stock Broking Pvt Ltd that they do client based trading and Pro-account trading.

Sign here (2) 

DOCKET – A

NSE	Adinath Stock Broking Pvt Ltd
TM Code 12805	SEBI REGN. NO.- INB231280539 (Cash)
	SEBI REGN. NO.- INF231280539 (F & O)
	SEBI REGN. NO.- INE231280539 (Currency)

BSE	Adinath Stock Broking Pvt Ltd
CLG Code 3175	SEBI REGN.NO.- INB011280535 (Cash)
	SEBI REGN.NO.- INF011280535 (F&O)

MCX-SX	Adinath Stock Broking Pvt Ltd
TM Code 44400	SEBI REGN. NO.- INE261280535 (Currency)

CDSL	Adinath Stock Broking Pvt Ltd
	SEBI REGN. NO.- IN-DP-452-2008 (DP)

EXCHANGE-WISE INVESTOR GRIEVANCE CELL

- ! NSE - complaint may be filed through website www.nseindia.com or by sending hard copy to nearest NSE office or at 022-26598100, ignse@nse.co.in.
- ! BSE - complaint to be filed at the nearest BSE Investor centre or at 022-22721233, is@bseindia.com / iscdelhi@bseindia.com
- ! MCX-SX - mail may be sent to investorcomplaints@mcx-sx.com or contact to Senior Manager, Investor Service Centre, MCX Stock Exchange Limited, Exchange Square, Suren Road, Chakala, Andheri (East), Mumbai – 400 093 Phone : 022-6731 8933

ADINATH STOCK BROKING PVT LTD

PHOTOGRAPH
Please affix your recent
passport size
photograph and sign
across it

As prescribed by SEBI circular no. CIR/MIRSD/16/2011 dated 22nd Aug 2011)

INDIVIDUAL CLIENT REGISTRATION APPLICATION FORM

(This information is the sole property of the trading member / brokerage house and would not be disclosed to any one unless required by law or except with the express permission of client)
(Please fill this form in **ENGLISH** and in **BLOCK LETTERS**.)

A. IDENTITY DETAILS					
1. Name of the Applicant: _____					
2. Father's/ Spouse Name: _____					
3	a. Gender:	Male/Female	b. Marital status :	Single /Married	c. Date of birth :
4	a. Nationality		b. status	Resident Individual/ Non Resident/ Foreign National	
5	a. PAN		b. Unique Identification Number (UID)/ Aadhaar, if any		
6. Specify the proof of Identity submitted: _____					
B. ADDRESS DETAIL					
1. Permanent Address : _____ _____ _____			4. Address for correspondence: _____ _____ _____		
City : _____ Pin Code: _____			City : _____ Pin Code: _____		
State: _____ Country: _____			State: _____ Country: _____		
2. Contact Details: Mobile No. _____ Tel.(Off): _____ Fax No. _____ Tel.(Res.): _____ Email Id : _____			Contact Details: Mobile No. _____ Tel.(Off): _____ Fax No. _____ Tel.(Res.): _____ Email Id : _____		
3. Specify the proof of address submitted for permanent address: _____			5. Specify the proof of address submitted for correspondence address: _____		
C. OTHER DETAILS					
SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4		MOBILE NO. +91 _____ (Mandatory, if you are giving Power of Attorney (POA)) (If POA is generated & you do not wish to avail of this facility, cancel this option).			
Easi		To register for easi, please visit our website www.cdslindia.com Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.			
I / we request you to send Electronic Transaction-cum-holding Statement at Sole / First Holders <input type="checkbox"/> Yes					
Email ID stated in the Account Opening Form or at _____ <input type="checkbox"/> No					
DECLARATION					
I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.					
Name of 1 st Holder _____			(3)	Signature _____	
Name of 2 st Holder _____				Signature _____	
Date:- _____					

ADINATH STOCK BROKING PVT.LTD.

(As prescribed by SEBI circular no. CIR/MIRSD/16/2011 dated 22nd Aug 2011)**CLIENT REGISTRATION APPLICATION FORM FOR CORPORATES , FIRMS ,HUF AND OTHERS**


(This information is the sole property of the trading member / brokerage house and would not be disclosed to any one unless required by law or except with the express permission of client)

(Please fill this form in **ENGLISH** and in **BLOCK LETTERS**.)

PHOTOGRAPH Please affix your recent passport size photograph and sign across it

A. IDENTITY DETAILS			
1. Name of Applicant :			
2. Date of incorporation:		Place of incorporation:	
3. Date of Commencement of Business :			
4. a. PAN no. :		b. Registration No. :	
5. Status (please tick any one): a. Private Limited Co. , b. Public Ltd. Co. c. Body Corporate d. Partnership e. Trust f. Charities g. NGO's h. FI i. FII j. HUF k. AOP l. Bank m. Government Body n. Non-Government Organization o. Defense Establishment p. BOI q. Society r. LLP s. Others (please specify) _____			
B. ADDRESS DETAILS			
1. Registered office Address : _____ _____ _____ City : _____ Pin Code: _____ State: _____ Country: _____		4. Address for correspondence: _____ _____ _____ City : _____ Pin Code: _____ State: _____ Country: _____	
2. Contact Details: Mobile No. _____ Tel.(Off): _____ Fax No. _____ Tel.(Res.): _____ Email Id : _____		Contact Details: Mobile No. _____ Tel.(Off): _____ Fax No. _____ Tel.(Res.): _____ Email Id : _____	
3. Specify the proof of address submitted for permanent address: _____		5. Specify the proof of address submitted for correspondence address: _____	
C. OTHER DETAILS			
1. Personal Details of Promoters / Partners / Karta / Trustees and whole time directors:			
Full Name:			
Designation :		PAN No :	
DIN :		UID:	
Residential Address		PHOTOGRAPH Please affix your recent passport size photograph and sign across it	
Full Name:			
Designation :		PAN No. :	
DIN :		UID :	
Residential Address :		PHOTOGRAPH Please affix your recent passport size photograph and sign across it	

Full Name:				PHOTOGRAPH Please affix your recent passport size photograph and sign across it
Designation :		PAN No. :		
DIN :		UID :		
Residential Address :				
Full Name:				PHOTOGRAPH Please affix your recent passport size photograph and sign across it
Designation :		PAN No. :		
DIN :		UID :		
Residential Address :				
SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	MOBILE NO. +91 _____ (Mandatory, if you are giving Power of Attorney (POA)) (If POA is generated & you do not wish to avail of this facility, cancel this option).			
Easi	To register for easi, please visit our website www.cdslindia.com Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.			
I / we request you to send Electronic Transaction-cum-holding Statement at Sole / First Holders Email ID stated in the Account Opening Form or at _____				<input type="checkbox"/> Yes <input type="checkbox"/> No

DECLARATION	
I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.	
Name of 1 st Holder _____	(3)  Signature _____
Name of 2 st Holder _____	Signature _____
Date:-	

FOR ADINATH DEMAT ACCOUNT

I/We request you to open a Demat Account in my/our name as per details appearing herein.

I/we authorize you to receive credits in my/our demat account with you without any instruction from me/us

YES NO

TYPE OF ACCOUNT (Please tick whichever is applicable)

Status	Sub-Status	
Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Director <input type="checkbox"/> Others (specify)	<input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Margin Trading A/C (MANTRA) <input type="checkbox"/> Individual HUF/AOP <input type="checkbox"/> Minor
NRI	NRI Repatriable NRI - Depository Receipts NRI Non-Repatriable Promoter	NRI Repatriable Promoter NRI Non-Repatriable Others (specify)
Foreign National	Foreign National Others (specify)	Foreign National - Depository Receipts

TO BE FILLED IN CASE OF JOINT OPERATION OF DEPOSITORY ACCOUNT

SECOND HOLDER

TITLE MR. MRS. MISS OTHER SUFFIX

A.IDENTITY DETAILS

1. Name of the Applicant:

2. Father's/ Spouse Name:

3	a. Gender:	Male/Female	b. Marital status :	Single /Married	c. Date of birth :	
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4	a. Nationality		b. status	Resident Individual/ Non Resident/ Foreign National
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5	a. PAN		b. Unique Identification Number (UID)/ Aadhaar, if any	
---	--------	--	--	--

6. Specify the proof of Identity submitted:

B.ADDRESS DETAIL

1. Permanent Address : _____ _____ City : _____ Pin Code: _____ State: _____ Country: _____ 2. Contact Details: Mobile No. _____ Tel.(Off): _____ Fax No. _____ Tel.(Res.): _____ Email Id : _____	4. Address for correspondence: _____ _____ City : _____ Pin Code: _____ State: _____ Country: _____ Contact Details: Mobile No. _____ Tel.(Off): _____ Fax No. _____ Tel.(Res.): _____ Email Id : _____
3. Specify the proof of address submitted for permanent address: _____	5. Specify the proof of address submitted for correspondence address: _____

Sign here (4) 

**2. TRADING ACCOUNT RELATED DETAILS
for both Individuals & Non-individuals**

A. PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its authorized persons in charge of dealing in securities during the last 3 years: _____

B. DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS

B1. DEALINGS THROUGH SUB-BROKERS

+If client is dealing through the sub-broker, provide the following details:

Sub-broker's Name: _____ SEBI Registration number: _____

Registered office address: _____

Ph: _____ Fax: _____

Website: _____

B2. DEALINGS THROUGH OTHER STOCK BROKERS / SUB BROKERS

Whether dealing with any other stock broker / sub broker (if case dealing with multiple stock broker / sub broker, provide details of all)

Name of Stock Broker: _____ Name of Sub-Broker, if any: _____

Client Code: _____ Exchange: _____

+Details of disputes/dues pending from/to such stock broker / sub broker: _____

C. NOMINATION DETAILS (for individuals only)

I/We the sole holder/ Joint holder/ Guardian(in case of minor) hereby declare that:

I/We do not wish to nominate any one for this Demat account.

I/We nominate the following person who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of my our death.

Full Name of the Nominee							
Address							
City		State					
Country		PIN code					
Telephone No.		Fax No.					
PAN		UID					
Email Id							
Relationship with BO (if any)							
Date of birth(Mandatory if moninee is a minor)							

As the nominee is minor as on date, to receive the securities in this account on behalf of the nominee in the event of the death of the sole holder/all joint holders, I/We appoint following person to act as Guardian

Full Name of the Guardian of Nominee						
Address						
City		State				
Country		PIN code				
Telephone No.		Fax No.				
PAN		UID				
Email Id						
Relationship of Guardian with Nominee						

This Nomination shall supersede any prior nomination made by me/us and also any testamentary document executed by me/us.

Details of Witness	First Witness	Second witness
Name if Witness		
Address of witness		
Signature of witness		

I/We have received and read the Rights and Obligations document and terms & terms & conditions and agree to abide by and be bound by the same and by the Bye laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I /We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me/ us in this form. I / We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder or Guardian(in case of Minor)	Second Holder
Name		
Sginature		

Nomination Registration No.	Dated

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For: - Adinath Stock Broking Pvt Ltd

Authorized Signatory

D. BANK ACCOUNT(S) DETAILS

Bank	Bank	Bank Account	Saving/Curren t/	MICR	IFSC
Name	Address	No.	NRI/NRO	No.	Code

City		State		Country		PIN Code					
------	--	-------	--	---------	--	----------	--	--	--	--	--

Do you wish to receive dividend / interest directly in to your bank account given below through ECS? (If not marked, the default option would be ' yes') (ECS is mandatory for locations notified by SEBI from time to time) Yes No






E. DEPOSITORY ACCOUNT(S) DETAILS

Depository Participant Name	Depository Name (NSDL/CDSL)	Beneficiary Name	DP ID	Beneficiary ID (BO ID)

I authorize you to transfer the shares purchased by me to the above mentioned demat beneficiary account.

F. TRADING PREFERENCE

Select and Sign against the Segment of Exchange in which Trading facility required:

<input type="checkbox"/> NSE - Cash 	<input type="checkbox"/> BSE - Cash 	<input type="checkbox"/> NSE - Currency 
<input type="checkbox"/>	<input type="checkbox"/> NSE - F&O 	<input type="checkbox"/> MCX-SX - Currency 

If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

G. ADDITIONAL DETAILS

+Whether you wish to receive physical contract note or Electronic Contract Note (ECN): _____

Specify your Email id, for ECN: _____

+Whether you wish to avail of the facility of internet trading/ wireless technology : Yes No

+Number of Years of Investment / Trading Experience: _____

+Any other information: _____

H. OTHER DETAILS

■ **Gross Annual Income Details** : Income Range per annum Rs. 1 Lac to 5 Lac
(please tick) ✓ Rs. 5 Lac to 10 Lac Rs. 10 Lac to 25 Lac
 Rs. 25 Lac to 1 Crore >1 Crore

■ NetWorth(Networthshouldnotbeolderthan1year)Amount
Rs

as on (date)

■ **Occupation** : Private Sector Public Sector Government Service Business
(please tick any one and give brief details) Professional Agriculturist Retired Housewife
 Student Forex Dealer Others _____ Please Specify

■ **Is the entity involved/providing any of the following services** Yes No

For Foreign Exchange/Money Changer Services Yes No - Gaming/Gambling/Lottery Services (e.g. casinos, betting syndicates)
Money Lending / Pawning Yes No

■ **Please tick, if applicable** (Note: In case of Non-individuals please tick, if applicable for any of your authorised signatories/ Promoters/Partners/Karta/Trustees/whole time directors):

Politically Exposed Person (PEP) Related to Politically Exposed Person (PEP)

■ **Any other information** :

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Place: _____

Date:

Sign here : (7) Signature of Client/(all) Authorized Signatory(ies)

TO BE FILLED BY INTERMEDIARY / EMPLOYEE

UCC Code allotted to the Client:

	Documents Verified with Originals	Client Interviewed By	In-Person Verification Done By
Name of the Employee			
Employee Code			
Designation of the Employee			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

For Adinath Stock Broking Pvt Ltd

Authorized Signatory / Director

Date:

INTRODUCER DETAILS

Name of the Introducer:

Employee Code : Employee E-mail

Mobile : Phone(with STD Code) :

Status of the Introducer:


Sub-broker Remisier Authorized Person Existing Client Others:

Address of the Introducer :

Signature of the Introducer:


BROKING TARIFF SHEET
BROKERAGE STRUCTURE

Brokerage Slab	% of Turnover	Minimum Paisa Per Share
Delivery Based		Paisa _____ Per Share
Daily Square up		Paisa _____ Per Share
Equity Future		Paisa _____ Per Share
Equity Option		Rs. _____ Per Lot
Currency Future		Paisa _____ Per Share
Currency Option		Rs. _____ Per Lot
Mutual Fund		Paisa _____ Per Share


 (9)

Sign here to authenticate e cutting/overwriting
 in any of Brokerage Slabs


Important Note: In case of Physical Contract notes or other related documents being dispatched to client, a difference of Rs. 25/- in total brokerage booked on a particular contract would be charged toward minimum processing fee. Delay payment charges @2% per month would be charged for debit/shortage in pay in/margin default, as per exchange requirements. Depository/Pledge charges for the movement of shares between pool/beneficiary/margin account for pay in and payout or margin purpose will be charged. Transaction charges/Clearing Charges/other statutory charges/ courier/ administration/processing charges will be charged as applicable. Handling charges for issue of account statement, copy of contract note etc. will be charged as applicable.

Sign here: (8) 

TARIFF SHEET		Charges Structure for DP Clients.
Serial NO.	Particulars	New Charge Structure with effect from 1st April 2013
1	Statutory Charges at the time of Account Opening	Rs. 125
2	Advance / Deposit	Rs. 100 For POA
3	Account Maintenance	Rs. 300 Individual & 500 Corporate
4	Demat	Rs. 15 per Certificate+ Courier charges
5	Remat	Rs. 15 per Certificate+ Courier charges
6	Transaction (Debit)	Rs. 15 for Delivery given to Adinath & 25 for Others
7	Pledge Creation	Rs. 30/-
8	Pledge Creation confirmation	NIL
9	pledge closure	NIL
10	Pledge closure confirmation	NIL
11	Pledge Invocation	NIL
12	Failed instruction charges	Rs. 15/-
13	Other Charges	Late Transaction Rs. 10 Per Instructions & Rs. 100 Per Instance for Cheque Dishonored Rs.25 Per Occasion for Client master Modification.
	Remarks	Debit balance for more than One Month from bill date will Attract interest @ 18% Per annum. Charges for Additional DIS Book Rs. 25 and Additional Statement of Holding/Transaction Rs. 20. Service Tax would be chargeable as per prevailing Govt. rules and regulations. With Effect From 1 June 2010.
	First / Sole Holder	Second Holder
BO Name		
Signature*		

Note:-

1. Clients having trading account with Adinath Stock Broking Pvt Ltd will be debited Do charges from trading account.

Sign here (10) 

OPTION FORM FOR ISSUE OF DIS

Date									
------	--	--	--	--	--	--	--	--	--

DP ID										Client ID								
Name of the Sole / First Holder																		
Name of Second joint Holder																		

Dear Sir / Madam,
I / We hereby state that: [Select one of the options given below]

OPTION 1:

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / Our CDSL account though I / we have issued a Power of Attorney (POA) / executed PMS agreement in Favour of / with _____ (name of the attorney / Clearing Member /PMS manager)

For executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS Manager

	First /Sole Holder	Second Joint Holder
Name		
Signature (11)		

OR

I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA / executed PMS agreement in favor of / with _____ (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date

	First /Sole Holder	Second Joint Holder
Name		
Signature (11)		

===== (Please Tear Here) =====

Acknowledgement Receipt

Received OPTION FORM FOR ISSUE/ NON ISSUE OF DIS BOOKLET from:

DP ID										Client ID								
Name of the Sole / First Holder																		
Name of Second joint Holder																		

Depository Participant Seal and Signature

Rights and Obligations of Beneficial Owner and Depository Participant
As prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff


5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "*no charges are payable for opening of demat accounts*".
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP 's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Sign here (12) 

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,
 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Sign here (13) 

Freezing/ defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.

23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.

27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.

28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.

29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI

30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.

31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

Sign here (14) 

DOCKET – B

8. INTERNET & WIRELESS TECHNOLOGY BASED TRADING LETTER

Adinath Stock Broking Pvt Ltd

1, Runwal Complex, Opp. Hanuman Mandir,
Old Mondha Jalna 431203.

Sub: Internet & Wireless Technology Based Trading

Sir,

I/We wish to trade through Internet & Wireless Technology Based Trading (IWTBT) facility provided by you as per the options mentioned below.

- I/We want to opt for Application Base Trading
 Web Base Trading
 NOW (NSE ON WEB)
 Securities Trading using Wireless Technology (STWT)

I/We hereby confirm you to send my/our username and password and other related information on the E-mail address mentioned by me/us in KYC.


Further, I/We confirm that I/We have fully read and understood the provisions laid down in the attached annexure pertaining to Internet & Wireless Technology based trading facility provided by stock brokers to clients and do hereby acknowledge the same and agree not to call into question the validity, enforceability and applicability of any provision/clauses in this document under any circumstance what so ever.

Thanks and Best Regards

For

Name: _____

Trading Code: _____

Sign here: (15) 

10. GENERAL AUTHORITY

Adinath Stock Broking Pvt Ltd

1, Runwal Complex, Opp. Hanuman Mandir,
Old Mondha Jalna 431203. (MH)


Dear Sir,

Sub: Letter Authority

I/we dealing with you as client at NSE/BSE/MCX-SX/USE in cash, Derivative & Currency Derivative Segment and in order to facilitate ease of operations, I/We authorize you as under:

1. I/We authorize you to set off outstanding in any of my/our accounts against credits available or arising in any other accounts maintained with you irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of the Exchange or in any other exchange and/or against the value of cash margin or collateral shares provided to you by me/us.
2. I/We hereby authorize you not to provide me/us Order Confirmation/ Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We shall get the required details from contract notes issued by you.
3. I/We hereby authorize you to keep all securities which I/We have given you in margin including the payout securities received by us for meeting margin/order obligation in any of the stock exchanges/clearing house/clearing corporation in whatever manner which may include pledging of shares in favor of bank and/or taking loan against the same or meeting margin/pay-in obligation on my/our behalf or for giving the same as margin to any of the stock exchanges/ clearing house/clearing corporation or otherwise. Further, I/We shall when called upon to do so forthwith from time to time provide a Margin Deposit and/or furnish additional Margin as required under the Rules and Regulations in respect of the business done by me and/or as agreed upon by me with the Trading Member.
4. I/We request you to retain credit balance in any of my/our account and to use the unused funds towards my/our margin/future obligation at any or both the Exchanges unless I/We instruct you otherwise. I/We also authorize you to debit the necessary demat charges from time to time, for keeping the shares in your client demat beneficiary account on my behalf. I/We also authorize you to debit the financial charges @2% p.m., for the debit balances or delay payment charges at the rate prescribed by exchange for shortage in margin/debit balances, if any, in my account and not settled as per the exchange requirements.
5. I/We request you to retain Securities in your demat account for my/our margin/future obligations at all Exchanges, unless I/We instruct you to transfer the same to my/our account.
6. I/We request you to consider my/our telephonic instructions for order placing/order modification/order cancellation as a written instruction and give me/us all the confirmation on telephonic unless instructed otherwise in writing. I/We am/are getting required details from contracts issued by you.
7. We request that you/exchange/other regulatory authority may send/dispatch us contract notes/e-mail alert/other documents through e-mail on my/our designated e-mail address mentioned by me/us in KYC. I/We will completely rely on the log reports of you dispatching software as a conclusive proof of dispatch of e-mail to me/us and will not dispute on the same. I/We note
That non-receipt of bounced mail notification by the stock broker shall amount to delivery of the contract note at my/our e-mail ID.
8. I/We will inform you the change of my/our email: ID.
9. I/We are aware and acknowledge that trading of all exchanges is in Electronic mode, based on Vsat, lease line, ISDN, Modem, VPN, Internet and/or combination of technologies and computer system to place and route order and also involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, pay in payout of funds & securities, online & offline banking etc. these are susceptible to interruptions, delay, mistake and dislocations; and your services may at any time be unavailable without further notice and I/we understand that there exists a possibility of communication failure or system problems or slow or delay response from system or trading half, or any such other problem/glitch whereby not been able to establish access to the trading system/network or delay in execution of trades, which may be beyond your control any may result in delay in processing or not processing of any orders either in part or in full. I understand that you are not making any representation or warranty that your service will be available to the Client at all times without any interruption. I/We agree that I/We shall not have any claim for any loss incurred by me/us against you on account of any suspension, delay, interruption, no availability or malfunctioning of your System or Service for any reason whatsoever.
10. I/We confirm that I/We never sublet the trading terminal on any term of connectivity from my place to any other place without your prior approval.
11. I/We am/are agreeable for inter-settlement transfer of securities towards settlement.
12. I/we am/are agreeable for & authorize you to with hold funds pay-out towards all the applicable margins and debits.
13. All fines/penalties and charges levied upon you due to my acts / deeds or transaction may be recovered by you from my account.
14. I have a Trading As well as depository relationship with ADINATH STOCK BROKING PVT LTD. Please debit the charges relevant with depository services from my trading account on monthly basis. I also agree to maintain the adequate balance in my trading account/ pay adequate advance fee for the said reason.
15. I agree that if my contract note bounce for more than 5 times you may dis-continue sending contract note/other documents/details/information on my email and will start sending physical documents and same my attract administration/other charges.

Yours faithfully,

Sign here :(17) 

Client Name : _____

Client Code : _____

Date : _____

11. E-MAIL ID / MOBILE NUMBER DECLARATION

To,

Adinath Stock Broking Pvt Ltd
 1, Runwal Complex, Opp. Hanuman Mandir,
 Old Mondha Jalna 431203.

Date:-

Dear Sir,

I/We hereby request you to send all your communications pertaining to my/our trade like Trade Confirmations, Contract Notes, MTM Obligation, Margin Calls or any other communication (which is necessary from your Compliance point of view) including the verification call from Adinath Stock Broking Pvt Ltd etc. on the below mentioned Mobile number/Email id.

Contact Mode	Mention E-mail / Mobile	Mobile / E-mail is registered in the Name (Please tick the correct box)			
		Self	Spouse	Dependent Child	Dependent Parent
E-mail		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Mobile No.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I/we confirm that:

The said Mobile is registered in the name of _____ and the Email is/is also registered in the name of _____

I/We am/are also aware that the Exchanges have been pursuing a process of confirming the trade details directly to the Clients via SMS and Email alerts which they have carried out through their respective Trading Member. Accordingly, I/We accord my/our consent to receive those SMS as well as Emails alerts directly from the Exchanges on the above stated contact details for the purpose. I/We hereby authorize you for sharing the said contact details with Exchanges.

Yours faithfully,

SIGNATURE OF CLIENT

(18) 

Name of the Client: _____

Trading Code: _____

DECLARATION FOR CLIENTS NOT HAVING EMAIL ID / MOBILE NUMBER

To,

Adinath Stock Broking Pvt Ltd
 1, Runwal Complex, Opp. Hanuman Mandir,
 Old Mondha Jalna 431203. (MH)


Date:-

Dear Sir,

I/We understand Exchange with the objective of reducing the number of investor complaints relating to unauthorized trading and to safeguard Members' own interest provides details of the transactions to all investors through mails and SMSs whose email address and mobile numbers are updated by the trading members to the Exchanges. I/We also understand that as provisions of SEBI/FMC/Exchanges, the trading member requires to update the mobile and e-mail IDs of their clients to the Exchanges.

However, pursuant to above mentioned compliance, I/we hereby confirm & declare that I/We do not have the mobile number/email id to be updated in your records for the purpose of receiving transactional alerts from trading member/ SEBI/FMC/Exchanges. I/We further authorize you to inform /update the same to the SEBI/FMC/Exchanges.

SIGNATURE OF CLIENT

(19) 

Name of the Client: _____

Trading Code: _____

12. LETTER OF AUTHORITY TO TRADE

To,
Adinath Stock Broking Pvt Ltd
1, Runwal Complex, Opp. Hanuman Mandir,
Old Mondha Jalna 431203. (MH)

Sub: Authority to trade on my / our behalf.
Dear Sir,

I/we am/are the holder of the a trading account bearing number _____ (hereinafter referred to
As the "Account") opened and maintained with you and wishes to appoint/ nominate _____
(Hereinafter referred to as the "Representative"), s/d of _____,
_____ Years, presently residing

Aged about..... at.....


.....
..... to perform all or any of the following acts, deeds and
Things, for and on my/our behalf and in my/our interest.

1. To operate the Account on my/our behalf.
2. To issue necessary instructions to you, for purchase, sale or transfer of shares and Securities from or to the Account as per Representative own judgment, and to sign necessary documents, wherever required effectuating such instructions of purchase, sale or transfer of securities from or to the Account.
3. To make or cause to make payments to ADINTH STOCK BROKING PVT LTD (ASBPL). Whenever necessary, and in accordance with my/our obligations in accordance with the terms and conditions of various agreements executed between me/us and ASBPL.
4. To duly honor all my/our contractual obligations under various agreements executed between me/us and ASBPL. Including but not limited to the "Member Constituent Agreement" and/or any other undertaking, commitment issued by my/us in favor of ASBPL.
5. To accept and give valid discharges for acceptance and submission of contract notes, bills, ledger statements, transaction statements and all correspondence on my behalf and report any discrepancy therein to ASBPL
6. To receive & accept necessary telephonic calls pertaining to margin &/or trade confirmation or any other call including
Verification call from ASBPL. At..... Mobile no. & undertake to update this contact detail in writing With ASBPL. In the event of any such change, taking place at any time in future.
7. To sincerely abide by the Statutes, Rules, Regulations and Guidelines prescribed for the purpose and in relation to the operation of the Account.
- A. I/we hereby agree and undertake that all such acts, deeds and things done by the Representative shall be deemed to be binding upon me/us as the same has been done by me/us only and I/we shall hereby ratify all and such acts, deeds, or things done by Representative in any manner whatsoever in discharge of the duties conferred upon him/her under the present instrument.
- B. I/we hereby agree and undertake to indemnify and keep ASBPL. including its directors, officers and employees indemnified against any loss, claims, liabilities, obligations, damages, deficiencies, actions, suits, or proceedings aroused / accrued or caused to the ASBPL. For any wrong act, deed or thing done by the Representative in any manner whatsoever in exercise of the powers conferred upon him.
- C. I/we further undertake and agree not to challenge any act, deed or things done by said Representative in any manner.
- D. I/we hereby agree and acknowledge that this letter of Authority shall be effective and operational until ASBPL. Received and acknowledged revocation letter at its registered office.

Client Name: _____

Client Code: _____

Date: _____

Sign here: (20)  _____

13. VERBAL ORDER ACCEPTANCE AUTHORISATION

Adinath Stock Broking Pvt Ltd

1, Runwal Complex, Opp. Hanuman Mandir,
Old Mondha Jalna 431203.

Dear Sir,

I/we am dealing with you as client at NSE/BSE/MCX-SX/USE in cash, Derivative & Currency Derivative Segment. As my/our broker i.e. agent I/We direct and authorize you to carry out trading/ dealing on my/our behalf as per instruction given below.

I/We agree and acknowledge that it is advised by you that I/We should give instruction for order placement/ modification and cancellation in writing and to avoid disputes, I/We must give instruction in exactly the format given below in duplicate (carbon copy/ photocopy only) and take signatures of at least two authorized officers at the branch along with company stamp on the carbon copy/ photocopy of the instructions in acknowledgment of receipt of my our instructions.

However as I/We shall be dealing by ordering over phone and even if we visit the branch, the fluctuations in market are so rapid the it is not practical to give written instructions for order placement/modification and cancellation, I/We hereby authorize you to accept my/our authorized representative's verbal instructions for order placement/modification and cancellation in person or over phone (fixed line/mobile phone) and execute the same. I/ We understand the risk associated with verbal orders and accept the same, and agree that I/We shall not be entitled to disown orders and consequent trades (if any) by shifting the burden of proof by asking you to prove the placement/modification and cancellation of orders through telephone recording or otherwise.

I/We shall be liable for all losses, damages and actions which may arise as a consequence of you're adhering to and carrying out my/our directions given above.

Thanking You

Yours Faithfully,

Name: _____

Sign Client: (21)

FORMAT FOR WRITTEN ORDERS

Adinath Stock Broking Pvt Ltd

1, Runwal Complex, Opp. Hanuman Mandir,
Old Mondha Jalna 431203.

Please execute the following order in my/our account

Sl. No.	Exchange	Segment (Equities/ Derivative/ Currency Derivative)	Scrip Name/Scrip Code/Contact Description	Order type (Regular/Stop Loss)	Buy/ Sell	Quantity	Rate	Disclosed Quantity (If any)	Trigger Price (If SL Order)	Remarks

Client Name: _____ Order Instruction Date & Time _____

Client Code: _____

Sign here(22) :

ACKNOWLEDGMENT

Received the request for executing above mentioned written orders from Mr. /Ms.....
having trading client code possessing PAN No.

Place:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Date:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Time _____ (A.M. /P.M.) (Auth. Official 1) (Auth. Official 2)

For ADINATH STOCK BROKING PVT LTD.

Authorised Signatory / Director

16. DECLARATION BY KARTA & ALL THE CO-PARCENERS (for HUF only)

To

Adinath Stock Broking Pvt Ltd

1, Runwal Complex, Opp. Hanuman Mandir,
Old Mondha Jalna 431203.

Dated : _____

Dear Sir/Madam,

1. Whereas the Hindu Undivided Family of..... is carrying on business in the Firm name and style of at..... or we intent to deal have or Desire to have Securities Trading Account with Adinath Stock Broking Pvt Ltd... (Hereinafter referred as Member). We undersigned, hereby confirm and declare that we are the present adult co-parceners of the said joint family; that
Mr. /Mrs..... is the present Karta of the said joint Family.
2. We confirm that affairs of HUF firm are carried on mainly by the Karta Mr. / Mrs..... on Behalf and in the interest and for the benefits of all the co-parceners. We hereby authorize the Karta on behalf of the HUF to deal with the member and the said trading member is hereby authorized to honor all instructions oral or written, given by him on behalf of the HUF. He is authorized to sell, purchase, transfer, endorse, negotiate documents and/or otherwise deal through the member on behalf of the HUF.
He is also authorize to sign execute and submit such applications, undertakings, agreements and other requisite documents, writings and deeds as may be deemed necessary or expedient to open account and give effect to this purpose. We are, however, jointly and severally responsible for all liabilities of the said HUF firm shall be recoverable from the assets of any one or all of us and also from the estate of the said joint family including the interest thereon of every co-parceners of the said joint family, including the share of the minor co parceners, if any.
3. We undertake to advise the member in writing of any change that may occur in the Karta ship or in the constitution of the said joint family or of the said HUF firm and until receipt of such notice by the member who shall be binding on the said joint family and the said HUF firm and on our respective estates. We shall, however continue to be liable jointly and severally to the member for all dues obligations f the said HUF firm in the Member's book on the date of the receipt of such notice by the member and until all such dues and obligations shall have been liquidated and discharged.
4. The names and dates of the birth of all the present minor & major co-parceners of the said joint family are given below. We also undertake to inform you in writing as and when each of the said members attains the majority and is authorized to act on behalf of, and bind the said HUF firm.

List of Family Members

S. No.	Name of the Co-parceners (Including Minor also)	Relationship with Karta	PAN No.	Date of Birth	Signature
1.					
2.					
3.					
4.					
5.					
6.					

Note: In case of adult family member provide copy of PAN card or any other ID proof (DL/Voter ID/ Passport). In case of Minor Family Member provide copy of age certificate (Birth Certificate/School Leaving Certificate).

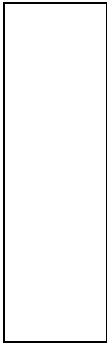
Name of the HUF _____

Signature of Karta with Rubber Stamp

Date: _____ Place: _____

POWER OF ATTORNEY

Mr./Mrs./Ms.....
an individual (a sole proprietary concern/a partnership firm/a body corporate/ trust, registered/ incorporated, under the provisions of the Indian Partnership Act, 1932/the Companies Act, 1956 or any relevant Act, having his/her/its residence/registered office/place of business at



hereinafter referred to as "the client") wish to avail/have availed the Broking/Internet Trading facilities and other service offered (hereinafter referred to as "Services") by Adinath Stock broking Pvt. Limited (hereinafter referred to as ASBPL"), company incorporated under the Companies Act, 1956 and Having its Registered Office at 1, Runwal Complex, Opp. Hanuman Mandir, Old Mondha, JALNA-431203 and is Member of the National Stock Exchange of India Limited (NSEIL), MCX Stock Exchange Ltd (MCX-SX) United Stock Exchange (USE) and Bombay Stock Exchange Ltd., (BSE) (hereinafter referred to as "the Exchanges")

Whereas I/We hold a beneficiary account no. _____ With **Central Depository Services (India) Limited (CDSL)** through **Adinath Stock broking Pvt. Limited** bearing DP-ID No. 12055200. **And Whereas** I /we am/are an investor desirous in buying and selling of securities through **Adinath Stockbroking Pvt. Limited**, a member of BSE and NSE, Bearing **SEBI Registration No. INB 011280535 and INB 231280539** respectively. **And Whereas** due to exigency and paucity of time, I am desirous of appointing an agent / attorney to Operate the aforesaid beneficiary account on my behalf for a limited purpose in the manner hereinafter Appearing and subject to condition as provided herein **NOW KNOW WE ALL AND THESE PRESENTS WITNESSETH THAT I THE ABOVENAMED DO**

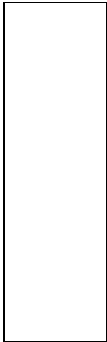


HEREBY NOMINATE, CONSTITUTE AND APPOINT Adinath Stock broking Pvt. Limited acting Through their Directors and/or duly authorized employees for the purpose, as my true and lawful Attorney for me and on my behalf and in my name to do instruct the aforesaid Depository Participant to debit securities and / or to transfer securities from the aforesaid account for the purpose of delivering the same to the clearing house of the recognized stock exchange toward any segment in respect of securities sold by me Through them, in the following account :

NSE


BSE

NSDLPOOLID: 10496368 CM-BPID: IN516141 NSDL POOL ID: 10513810 CM-BPID-IN655621
CSDL POOL ID: 1205520000003474 CSDL POOLID: 1205520000000946
EARLY PAYIN ID: 1100001100018159 EARLY PAYIN ID: 1100001000014673
MARGIN ID -1205520000006781



The attorney is also authorized to do, execute and perform severally the following acts, deeds, matters and things, Provided the attorney complies with all the applicable conditions of all or any of their services offered by them in Their capacity as Trading Members or otherwise or by any of their associates offering Similar services.

1. To operate and maintain my BO account with DP **Adinath Stock broking Pvt. Ltd.** bearing **DP-ID 12055200** in Central Depository Services (India) Limited.
2. To issue instructions to the said Depository Participant for transfer, or other transactions including pledge, facility that may be extended by CDSL from time to time in respect of the securities held in the said account and / or securities to which I am or may Be entitled and for that purpose, to sign and execute instruction slips and other forms and writings as may be Prescribed by CDSL.
3. To have and exercise the powers and/or authority, and to do and/or execute the acts, deeds matters and Things specified in agreement between Adinath Stock broking Pvt. Limited and the Client.
4. To debit/credit/block/create lien/pledge or otherwise operate the depository account(s) for the credit or benefit of my/our account with Adinath Stock broking Pvt. Limited or the transactions carried by me/us with ASBPL
5. For fulfillment of any amount due and payable by me/us (by whatever name called) to ASBPL.
6. To issue instructions relating to executing delivery/receipt instructions, pledge instructions, pledge closure instruction, lending and borrowing instruction, to operate the depository account by issue and receipt of instructions for the said purposes and such other authorization given by me/us severally on behalf of all of us, or all/any of us jointly, in an electronic form, in any format and at any time either through the portal of the Adinath Stock broking Pvt. Limited or through the internet or any other electronic mode, will be a valid instruction to intimate the same to the Depository Participant for the purposes of debiting or crediting my account opened with the Depository Participant(s).
7. To validate on my/our behalf any such instruction so given to the Depository Participant(s), in written/physical or any other form as may be required by the concerned depository participant

Sign here 

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8. For these purposes and to this extent, the ASBPL above named is empowered by me/us, to affix their signatures to any document, form or any other record, being a delivery instruction given by me/us to the said depository participant, as required by the concerned depository.
9. To sign instructions on my behalf with respect to debit/credit/the depository account /(s) for the credit or benefit of my/our account with Adinath, for the transactions carried by me/us with Adinath, or for fulfillment of any amount due and payable by me/us (by whatever name called) to Adinath.
10. To confirm having read and understood the contents of the offers documents of various schemes of the mutual funds in which the company may invest on behalf of me/us and not to hold the mutual fund/asset management company/Adinath liable for any transaction processed on the basis of information provided by the company
11. To deposit/transfer on allotment, the securities, debentures, units of the mutual funds and/or all other investment products applied through Adinath, on allotment, to my/our Beneficiary Ownership Demat account opened for the purpose with the Adinath - DP.
12. To Debit my Trading account towards monies/fees/charges etc. payable to Adinath or to third party service provider or to any of the affiliates/subsidiaries of Adinath by virtue of I/We using/subscribing to any of the facilities/services provide either by Adinath or through a Third Party service provider or by any other security or financial instrument on behalf of me/us through Adinath or any third party.
13. My attorney/Adinath shall not be liable for any loss that may result from failure/inability in electronic connectivity of my/our application for any reason whatsoever.
14. I/We also undertake to pay such demat charges/fees and such other charges incurred by the ASBPL under this power of attorney and that I/We further authorize the ASBPL to debit my/our account with and/or ASBPL with the said charges as and when the same becomes due for payment and I/We hereby undertake to pay the same immediately on demand made by ASBPL
15. This power of attorney shall be valid for any transaction carried on by any of us with Adinath Stockbroking Pvt. Limited and it will be in order for ASBPL to credit any proceeds to the account of any/all of us with Adinath Stockbroking Pvt. Limited irrespective of our pattern of holding. This power of attorney shall be valid even though the order of name in the depository account is not as mentioned in the power of attorney.
16. To register this Power of Attorney with the Depository Participant and or with Adinath Stock broking Pvt. Limited or any other party concerned.
17. To apply for, subscribe to or enter into correspondence with respect to any initial public Offers/Offer for sale of Securities of the companies, products of any Mutual Fund or any other "Investment Products" offered through the website: www.adinathonline.com or any other means as per the instructions made available to them by me/us by electronic mail or through any other mode as specified on the website or otherwise.
18. To sign any application, enter into any agreement to complete any transaction requested to through the Web- site or otherwise
19. To make payment to any party on my/our behalf to fulfill the transaction requested by me/us. To enter into correspondence with any party with respect to any transaction in any Investment Products.
20. To forward all such applications placed through the website to the on-line-IPO module of the concerned
21. Exchange or NFO or other offer from Mutual Fund or owner/issuer of "Investment Product".
22. To receive intimation from the Exchange and any other party regarding the allocation/allotment, rejection/ regret of the securities or such other "Investment Product" applications/subscriptions/withdrawal or any other communications
23. To deposit/transfer on allotment, the securities, debentures, units of the mutual funds and/or all other opened for the purpose with the ASBPL.
24. To do all other acts and things as may be necessary to effect the subscription/purchase/redemption or any other transaction in any investment Product for which services availed from ASBPL
25. To debit my Demat account/ledger account towards monies/ securities /fees/charges etc. due to Adinath Stock Broking Pvt Ltd. And to discharge all obligations in this regard on my / our behalf to ASBPL or any other transactions in any investment product for which services are availed from ASBPL
26. To debit my Depository account towards monies/fees/charges etc. payable to ASBPL or to Third Party service provider or to any of the affiliates/subsidiaries of ASBPL by virtue of I/We using/subscribing to any of the facilities/services provided either by ASBPL or through a Third Party service provider or by any other security or financial instrument on behalf of me/us through ASBPL or any third party.

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- 27. To bind our services with respect to any instruction given by first holder or any other holder to ASBPL
- 28. To have the first and paramount lien on the designated Demat account(s) and to exercise such lien in the
- 29. To retain all originals of the documents executed by me/us.
- 30. My attorney ASBPL shall not be liable for any loss that may result from failure/inability in electronic connectivity Or rejection of my/our application for any reason whatsoever.
- 31 We the joint holders of demat account agree, ratify and confirm that the client herein above mentioned shall be the exclusive beneficiary of the transactions carried out pursuant to this power of attorney.
- 32. Adinath is authorized to send to me /us consolidated summary of my/ our scrip-wise buy and sell positions taken with average rates by way of SMS/ Email on the days of any transaction in my/ our account, notwithstanding any other document to be disseminated as specified by SEBI form time to time.
- 33. This power of attorney can be revoked by me/us at any time at will in relation to the future transactions; however the same shall be subject to me /us clearing our dues to Adinath.
- 34 Adinath would return to the client(s) the securities or fund that may have been received by it erroneously or those securities or fund that it was not entitled to receive, I/We agree that ASBPL is entitled to credit the proceeds of any instruction. This document shall be subject to the jurisdiction of the Courts in Jalna. In witness whereof I/We have executed this Irrevocable Power of Attorney on the Day date and year herein below mentioned.

AND I do hereby agree to ratify all lawful acts and things done by the said attorney pursuant to the powers hereinabove contained.

In witness thereof I, above named Mr. / Mrs _____
 have hereinto set my hands this _____ day of _____ TwoThousand _____)

Signed, sealed and delivered)

By the within named Mr. / Mrs. _____

(First Holder)

1.

By the within named Mr. / Mrs. _____

(Second Holder)

2.

In the presence of (witness)

Mr. / Mrs. _____

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